

# FUTUREGROWTH

/ ASSET MANAGEMENT

## FUTUREGROWTH ASSET MANAGEMENT IS RECRUITING!

We have an exciting opportunity available for an **Investment Guidelines Analyst (12-month contract)** in our Compliance team.

*Futuregrowth is committed to transformation. A strong preference will be given to suitably qualified previously disadvantaged candidates.*

### **Investment Guidelines Analyst (12-month contract)**

Are you passionate about investment compliance, mandate interpretation and regulatory oversight? This role sits at the intersection of operational rigour and compliance assurance, ensuring that client portfolios adhere to their mandates and applicable regulatory guidelines.

### **Who is Futuregrowth?**

Futuregrowth has been South Africa's leading developmental, responsible and fixed-income investor for over 25 years. We manage a range of fixed interest and development funds and play a key leadership role in the South African asset management industry. We are committed to investing our clients' funds in a responsible manner, with the interests of our clients always coming first.

### **Purpose of the position**

A vacancy has arisen for an Investment Guidelines Analyst on a 12-month contract basis. The individual will be playing a key role in maintaining investment restrictions, performing pre-trade compliance analysis, and monitoring post-trade breaches across client portfolios.

### **Key responsibilities of the position**

The successful candidate will fulfil the following primary functions:

- Performing pre-trade compliance analysis for SA funds and portfolios, ensuring adherence to client mandates and regulatory requirements;
- Interpreting client guidelines, regulatory rules, and internal restrictions - code these into CRIMS and equivalent order management platforms, ensuring alignment with mandate terms;
- Being responsible for daily monitoring of post-trade compliance breaches and reporting these to the relevant departments - a core function of the role;
- Reviewing, interpreting and operationalising client investment mandates, ensuring guidelines and restrictions are accurately captured and maintained in the order management system;
- Quality-checking and peer reviewing coded rules to ensure accuracy and completeness;
- Engaging with portfolio managers, traders and operations staff to evaluate and resolve pre-trade queries, post-trade queries and breaches;
- Continually reviewing and improving processes to reduce risk and improve efficiency, contributing to a culture of continuous improvement;
- Assisting the team with other BAU work and ad hoc tasks and projects, including the current CRIMS compliance implementation;

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- Compiling operational and monitoring reports for internal stakeholders, portfolio managers, and clients where required;
- Addressing ad hoc queries from portfolio management, operations, and client-facing teams relating to compliance, mandate interpretation, and operational processes; and
- Navigating and extracting information from back-office and portfolio administration systems to investigate non-adherence incidents and operational exceptions.

## Knowledge and experience required

### Skills, professional qualifications and experience:

- Bachelor's Degree, preferably BCom or equivalent;
- A minimum of 2–3 years' relevant working experience within an investment or asset management business, with knowledge of fixed income instruments, regulatory restrictions, and portfolio administration;
- Detailed working knowledge of Charles River (CRD), thinkFolio, CRIMS, HiPortfolio or similar compliance and portfolio management systems will be highly advantageous; and
- Computer literacy across the Microsoft suite; exposure to investment administration systems and data tools will be an advantage.

### Key behaviours and competencies:

- Analytical and critical thinking with the ability to analyse, interpret and assimilate complex investment and regulatory information.
- Excellent communication skills (both written and verbal).
- Ability to build and maintain meaningful relationships across front and middle office stakeholders.
- Client-focused and collaborative approach, with high conviction and comfort sharing opinions.
- Quality focus and strong attention to detail.
- Ability to work independently and as part of a team.
- Takes responsibility for quality of work and output.
- Pro-active can-do approach.
- Multi-tasking and time management skills given business needs.
- Ability to deliver under pressure.

## Recruitment process

Selected candidates will need to attend a series of competency-based interviews/activities and a psychometric assessment.

## Application Link

[Click here to apply](https://bit.ly/3QwtVTI) (<https://bit.ly/3QwtVTI>)